



Do Good.

Disclosure in respect of transactions with related parties as per the standards specified by the Institute of Chartered Accountants of India.

A. Enterprises owned or significantly influenced by key management personnel where control exists irrespective whether transactions have occurred or not

1. Holding Company : Neo Investment Value Advisors Private Limited  
Neo Wealth Management Pvt Ltd  
Subsidiary Companies : Neo Market Services Pvt Ltd  
Neo Wealth Partners Private Limited

Disclosure of Related Party Transactions on standalone basis for the year ended March 31, 2023. As per Indian Accounting Standard on related party disclosures (Ind AS 24), the names of the related parties of the Company are as follows:

Amount in INR Lacs

Nature of Transactions	Name of Related Party	Holding Company/ Controlling Entity	Fellow Subsidiary	Grand Total
		<b>FY 22-23</b>		
i) Short Term Loans and Advances Given	Neo Market Services Pvt Ltd	-	3,980.00	3,980.00
	Neo Wealth Management Pvt Ltd	-	-	-
ii) Short Term Loans and Advances Taken	Neo Wealth Management Pvt Ltd	200.00	-	200.00
iii) Repayment of Short Term Loans and Advances Given	Neo Market Services Pvt Ltd	-	1,880.00	1,880.00
	Neo Wealth Management Pvt Ltd	4,250.00	-	4,250.00
iii) Repayment of Short Term Loans and Advances Taken	Neo Wealth Management Pvt Ltd	200.00	-	200.00
iv) Interest Received on ICD	Neo Market Services Pvt Ltd	-	738.92	738.92
	Neo Wealth Management Pvt Ltd	173.52	-	173.52
v) Interest Paid on ICD	Neo Wealth Management Pvt Ltd	0.52	-	0.52
iv) Cost allocation of common expenses	Neo Wealth Management Pvt Ltd	168.73	-	168.73

**NEO ASSET MANAGEMENT PRIVATE LIMITED**

Corporate Identity Number: U67100MH2021PTC372701

Registered Office Address: 3701, Island City, Center One, G.D. Ambekar Marg, Dadar (E), Mumbai 400 014



Do Good.

Nature of Transactions	Name of Related Party	Holding Company/ Controlling Entity	Fellow Subsidiary	Grand Total
	Neo Market Services Pvt Ltd	-	2.27	2.27
v) Share premium received	Neo Wealth Management Pvt Ltd	-	-	-
	Ruchika Daga	-	-	-
vi) Equity Share Capital subscribed	Neo Wealth Management Pvt Ltd	-	-	-
	Ruchika Daga	-	-	-
vii) Equity Share Capital transferred by	Ruchika Daga	-	-	-
viii) Equity Share Capital transferred to	Neo Wealth Management Pvt Ltd	-	-	-
ix) Referral fees	Neo Market Services Pvt Ltd	-	17.30	17.30
	Neo Wealth Management Pvt Ltd	175.75	-	175.75
<b>Outstanding</b>				
i) Share premium	Neo Wealth Management Pvt Ltd	999.50	-	999.50
	Ruchika Daga	499.75	-	499.75
ii) Equity Share Capital	Neo Wealth Management Pvt Ltd	1.40	-	1.40
	Ruchika Daga	0.35	-	0.35
iii) Short Term Loans & Advances	Neo Wealth Management Pvt Ltd	-	-	-
	Neo Market Services Pvt Ltd	-	4,500.00	4,500.00
iv) Other payables - Cost allocation of common expenses	Neo Wealth Management Pvt Ltd	184.00	-	184.00
v) Interest Receivable	Neo Market Services Pvt Ltd	-	149.86	149.86
vi) Interest Received in Advance	Neo Wealth Management Pvt Ltd	-	-	-

**NEO ASSET MANAGEMENT PRIVATE LIMITED**

Corporate Identity Number: U67100MH2021PTC372701

Registered Office Address: 3701, Island City, Center One, G.D. Ambekar Marg, Dadar (E), Mumbai 400 014



Do Good.

Nature of Transactions	Name of Related Party	Holding Company/ Controlling Entity	Fellow Subsidiary	Grand Total
vii) Referral fees	Neo Wealth Management Pvt Ltd	49.79	-	49.79

#### Other Related Party

Enterprises owned or significantly influenced by Key Management Personnel:

1. Swara Ventures LLP (LLP) is an investor in the PMS where Nitin Jain and Rashmi Jain are partners of the LLP where Nitin Jain holds directly or indirectly as the beneficial owner in the Group entities
2. Bridgemonte Advisors Private Limited is the client of the PMS where Mr. Visva Srikant Ayinavolu and Leela Rani Ayinavolu (Spouse of Mr. Visva Srikant Ayinavolu) is the majority Shareholder and the Director of Transwelath Financial Services Private Limited. He is also the Director of Neo Wealth Management Pvt Ltd holding company of Neo Asset Management Private Limited
3. Neo Wealth Partners Private Limited is the Subsidiary of Neo Wealth Management Pvt Ltd and ultimately held by Neo Investment Value Advisors P Ltd acting as the distributor to the products of the Company having Mr. Nitin Jain, Mr. Visva Srikant Ayinavolu, Mr. Subhajit Bhattacharjee, and Mr. Sudir Upadhyay as the Directors of the Company
4. Neo Wealth Management Pvt Ltd is the holding company of the Company which is a Stock Broking entity and has agreed to pay pure cost reimbursement charges to the Company for the expenses incurred by the Company for reporting, monitoring, digitisation etc. towards client reporting efficiencies

#### Key Management Personnel ('KMP') of the Company

1. Nitin Jain – Director
2. Hemant Daga – Director
3. Varun Bajpai – Director
4. Suresh Goyal – Shareholder and Director
5. Puneet Jain – Director
6. Bismillah Chowdhary – Managing Director and Portfolio Manager

#### Key Management Personnel ('KMP') of the Ultimate Parent Company

1. Nitin Jain – Director
2. Puneet Jain – Director
3. Dipty Jain – Director
4. Rashmi Jain – Director
5. Saakshi Chopra – Non Executive Director

#### Key Management Personnel ('KMP') of the Parent Company

### NEO ASSET MANAGEMENT PRIVATE LIMITED

Corporate Identity Number: U67100MH2021PTC372701

Registered Office Address: 3701, Island City, Center One, G.D. Ambekar Marg, Dadar (E), Mumbai 400 014



Do Good.

1. Nitin Jain – Director
2. Varun Bajpai– Director
3. Anupam Agal – Director
4. Anshul Garg – Director
5. Vishal Vithlani – Director

#### Relatives of the Key Management Personnel

1. Rashmi Jain – Spouse of Mr. Nitin Jain
2. Aditya Jain - Son of Mr. Nitin Jain and Rashmi Jain
3. Abhiraj Jain - Son of Mr. Nitin Jain and Rashmi Jain
4. Ruchika Daga – Spouse of Mr. Hemant Daga
5. Aarti Ramakrishnan – Spouse of Mr. Varun Bajpai
6. Vedika Bajpai – Daughter of Mr. Varun Bajpai and Aarti Ramakrishnan
7. Aditi Bajpai – – Daughter of Mr. Varun Bajpai and Aarti Ramakrishnan
8. Vineet Bajpai – Brother of Mr. Varun Bajpai
9. Parul Goel – Spouse of Mr. Puneet Jain
10. Vidit Jain – Son of Mr. Puneet Jain and Parul Goel
11. Vitin Jain - Son of Mr. Puneet Jain and Parul Goel
12. Salma Chowdhary - Spouse of Mr. Bismillah Chowdhary
13. Sarah Chowdhary – Daughter of Mr. Bismillah Chowdhary
14. Mohammed Rehan Chowdhary – Son of Mr. Bismillah Chowdhary
15. Mohammed Ibrahim Chowdhary – Son of Mr. Bismillah Chowdhary
16. Poonam Agal – Spouse of Mr. Anupam Agal
17. Jeet Agal – Son of Mr. Anupam Agal and Poonam Agal
18. Kamyaa Agal – Daughter of Mr. Anupam Agal and Poonam Agal

#### **NEO ASSET MANAGEMENT PRIVATE LIMITED**

Corporate Identity Number: U67100MH2021PTC372701

Registered Office Address: 3701, Island City, Center One, G.D. Ambekar Marg, Dadar (E), Mumbai 400 014