



Do Good.

Complaint Data for Portfolio Management Services

Data for the month ending – November 30, 2023

Sr. No.	Received From	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending Complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	N.A	NIL	NIL	N.A
2	SEBI (SCORES)	NIL	NIL	N.A	NIL	NIL	N.A
3	Other Sources (if any)	NIL	NIL	N.A	NIL	NIL	N.A
	Grand Total	NIL	NIL	N.A	NIL	NIL	N.A

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November 2022	NIL	NIL	NIL	NIL
2	December 2022	NIL	NIL	NIL	NIL
3	January 2023	NIL	NIL	NIL	NIL
4	February 2023	NIL	NIL	NIL	NIL
5	March 2023	NIL	NIL	NIL	NIL
6	April 2023	NIL	NIL	NIL	NIL
7	May 2023	NIL	NIL	NIL	NIL
8	June 2023	NIL	NIL	NIL	NIL
9	July 2023	NIL	NIL	NIL	NIL
10	August 2023	NIL	NIL	NIL	NIL
11	September 2023	NIL	NIL	NIL	NIL
12	October 2023	NIL	NIL	NIL	NIL
13	November 2023	NIL	NIL	NIL	NIL

NEO ASSET MANAGEMENT PRIVATE LIMITED

Corporate Identity Number: U67100MH2021PTC372701

Registered Office Address: 3701, Island City, Center One, G.D. Ambekar Marg, Dadar (E), Mumbai 400 014



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	Grand Total	NIL	NIL	NIL	NIL
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* Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month

* The PMS received license only on 24 November 2022 hence it has not commenced its operations.

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